



Express Mail No. EV064991572US

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/033,365         | 12/26/2001          | Kim E. Lorenz         | 005217.P073            |

CONFIRMATION NO. 7808

## FORMALITIES LETTER



\*OC000000007649864\*

Dennis M. de Guzman  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 03/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 BABRAHA1 00000110 10033365

01 FC:101 740.00 OP  
02 FC:105 130.00 OP  
03 FC:103 540.00 OP  
04 FC:102 420.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$960.
  - \$540 for 30 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1830.**

*A copy of this notice **MUST** be returned with the reply.*

*Margaret*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kim E. Lorenz  
Application No. : 10/033,365  
Filed : December 26, 2001  
For : DISPLAY FOR A CLIENT TERMINAL FOR AN INTERACTIVE  
VIDEO CASTING SYSTEM

Art Unit : 2611  
Docket No. : 260042.473  
Date : May 15, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated March 15, 2002, please find enclosed the following documents:

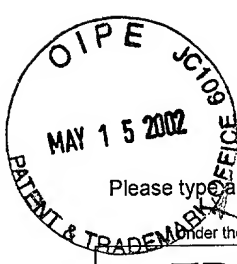
  X   Fee  
  X   Oath/Declaration  
  X   Copy of Notice to File Missing Parts  
      Sheets of Formal Drawings

Respectfully submitted,

Kim E. Lorenz

Seed Intellectual Property Law Group PLLC

Dennis M. de Guzman  
Registration No. 41,702



05-17-02

MP

EXPRESS MAIL NO. EV064991572US

Digco Ref. No. 252  
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/033,365        |
| Filing Date          | December 26, 2001 |
| First Named Inventor | Kim E. Lorenz     |
| Group Art Unit       | 2611              |
| Examiner Name        |                   |
| Attorney Docket No.  | 260042.473        |

## ENCLOSURES (check all that apply)

|  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement; Form PTO-1449<br><input type="checkbox"/> Cited References<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input checked="" type="checkbox"/> Declaration<br><input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><u>Application Data Sheet; Copy of</u><br><u>Notice to File Missing Parts; and</u><br><u>Form PTO/SB/122</u> |
|--|--|--|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                 |  |                                      |
|-----------------|--|--------------------------------------|
| Individual Name | Dennis M. de Guzman<br>Registration No. 41,702 | <br>00500<br>PATENT TRADEMARK OFFICE |
| Signature       |  |                                      |
| Date            | May 15, 2002                                   |                                      |

## CERTIFICATE OF MAILING

|   |  |       |
|---|--|-------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below. |  |       |
| Typed or printed name   |  |       |
| Signature   |  | Date: |

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 1830

## Complete if Known

Application Number **10/033,365**  
Filing Date **December 26, 2001**  
First Named Inventor **Kim E. Lorenz**  
Examiner Name  
Group Art Unit **2611**  
Attorney Docket No. **260042.473**

## METHOD OF PAYMENT

- ☒ Payment Enclosed  
☒ Check ☐ Credit card ☐ Money Order ☐ Other  
☐ Deposit Account.  
Deposit Account Number **19-1090**  
Deposit Account Name **Seed Intellectual Property Law Group PLLC**  
The Commissioner is authorized to (check all that apply)  
☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any deficiencies  
to the above-identified deposit account  
☐ Applicant claims small entity status. See 37 CFR 1.27.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |         | Small Entity |         | Fee Description        | Fee Paid |
|--------------|---------|--------------|---------|------------------------|----------|
| Fee Code     | Fee(\$) | Fee Code     | Fee(\$) |                        |          |
| 101          | 740     | 201          | 370     | Utility filing fee     | 740      |
| 106          | 330     | 206          | 165     | Design filing fee      |          |
| 107          | 510     | 207          | 255     | Plant filing fee       |          |
| 108          | 740     | 208          | 370     | Reissue filing fee     |          |
| 114          | 160     | 214          | 80      | Provisional filing fee |          |
| SUBTOTAL (1) |         |              |         |                        | (\$ 740) |

### 2. EXTRA CLAIM FEES

|                     |           |                     |           |  |                |           |   |            |
|---------------------|-----------|---------------------|-----------|--|----------------|-----------|---|------------|
| Total Claims        | <b>50</b> | -20** =             | <b>30</b> | *  | Fee from below | <b>18</b> | = | <b>540</b> |
| Independent Claims  | <b>8</b>  | -3** =              | <b>5</b>  | *  |                | <b>84</b> | = | <b>420</b> |
| Multiple Dependent  |           |                     |           | *  |                |           | = |            |
| <u>Large Entity</u> |           | <u>Small Entity</u> |           |  |                |           |   |            |
| Fee Code            | Fee (\$)  | Fee Code            | Fee (\$)  | Fee Description  |                |           |   |            |
| 103                 | 18        | 203                 | 9         | Claims in excess of 20                                     |                |           |   |            |
| 102                 | 84        | 202                 | 42        | Independent claims in excess of 3                          |                |           |   |            |
| 104                 | 280       | 204                 | 140       | Multiple dependent claim, if not paid                      |                |           |   |            |
| 109                 | 84        | 209                 | 42        | ** Reissue independent claims over original patent         |                |           |   |            |
| 110                 | 18        | 210                 | 9         | ** Reissue claims in excess of 20 and over original patent |                |           |   |            |
| SUBTOTAL (2)        |           |                     |           | (\$ 960)   |                |           |   |            |

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                      |         | Small    |         | Fee Description  | Fee Paid              |
|-----------------------------------|---------|----------|---------|--|-----------------------|
| Fee Code                          | Fee(\$) | Fee Code | Fee(\$) |  |                       |
| 105                               | 130     | 205      | 65      | Surcharge - late filing fee or oath  | 130                   |
| 127                               | 50      | 227      | 25      | Surcharge - late provisional filing fee or cover sheet                     |                       |
| 139                               | 130     | 139      | 130     | Non-English specification  |                       |
| 147                               | 2,520   | 147      | 2,520   | For filing a request for ex parte reexamination                            |                       |
| 112                               | 920*    | 112      | 920*    | Requesting publication of SIR prior to Examiner action                     |                       |
| 113                               | 1,840*  | 113      | 1,840*  | Requesting publication of SIR after Examiner action                        |                       |
| 115                               | 110     | 215      | 55      | Extension for reply within first month                                     |                       |
| 116                               | 400     | 216      | 200     | Extension for reply within second month                                    |                       |
| 117                               | 920     | 217      | 460     | Extension for reply within third month                                     |                       |
| 118                               | 1,440   | 218      | 720     | Extension for reply within fourth month                                    |                       |
| 128                               | 1,960   | 228      | 980     | Extension for reply within fifth month                                     |                       |
| 119                               | 320     | 219      | 160     | Notice of Appeal   |                       |
| 120                               | 320     | 220      | 160     | Filing a brief in support of an appeal                                     |                       |
| 121                               | 280     | 221      | 140     | Request for oral hearing   |                       |
| 138                               | 1,510   | 138      | 1,510   | Petition to institute a public use proceeding                              |                       |
| 140                               | 110     | 240      | 55      | Petition to revive - unavoidable   |                       |
| 141                               | 1,280   | 241      | 640     | Petition to revive - unintentional   |                       |
| 142                               | 1,280   | 242      | 640     | Utility issue fee (or reissue)   |                       |
| 143                               | 460     | 243      | 230     | Design issue fee   |                       |
| 144                               | 620     | 244      | 310     | Plant issue fee  |                       |
| 122                               | 130     | 122      | 130     | Petitions to the Commissioner  |                       |
| 123                               | 50      | 123      | 50      | Petitions related to provisional applications                              |                       |
| 126                               | 180     | 126      | 180     | Submission of Information Disclosure Stmt                                  |                       |
| 581                               | 40      | 581      | 40      | Recording each patent assignment per property (times number of properties) |                       |
| 146                               | 740     | 246      | 370     | Filing a submission after final rejection (37 CFR § 1.129(a))              |                       |
| 149                               | 740     | 249      | 370     | For each additional invention to be examined (37 CFR § 1.129(b))           |                       |
| 179                               | 740     | 279      | 370     | Request for Continued Examination (RCE)                                    |                       |
| 169                               | 900     | 169      | 900     | Request for expedited examination of a design application                  |                       |
| Other fee (specify) _____         |         |          |         |  |                       |
| *Reduced by Basic Filing Fee Paid |         |          |         |  | SUBTOTAL (3) (\$ 130) |

## SUBMITTED BY

Name (Print/Type) **Dennis M. de Guzman** Registration No **41,702**  
Firm Name/Address  
Signature *Dennis M. de Guzman* Date **May 15, 2002**



00500

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